

# COMPLIANCE NEWSLETTER

## MARCH 2026

### WELCOME!

Welcome to our first monthly newsletter! Our goal is to share compliance-related information with the TC community to educate and build culture around compliance.

TC follows the "Seven Elements of an Effective Compliance Program" established by the U.S. Sentencing Commission (§8B2.1). These guidelines serve as our roadmap for building a culture of compliance at TC.

### 7 ELEMENTS OF AN EFFECTIVE COMPLIANCE PROGRAM

1. **Standards & Procedures:** PolicyTech, TC policy library, houses our current policies
2. **Leadership:** The Compliance Committee provides guidance on compliance at TC
3. **Education & Training:** TC hosts a variety of training programs to support our compliance program
4. **Monitoring:** TC continues to monitor and evaluate its compliance program
5. **Reporting:** Ethics Point is the TC whistleblower reporting platform
6. **Enforcement:** consistent enforcement of compliance standards through disciplinary guidelines
7. **Prevention:** prompt investigation of complaints, and resolution that prevents future non-compliance

## COMPLIANCE @ TC

### HECA COMPLIANCE MATRIX

The Higher Education Compliance Alliance (HECA) Compliance Matrix is a collaborative repository created by the National Association of College and University Attorneys (NACUA) to provide a comprehensive database of federal laws and regulations affecting colleges and universities.

Learn more [here](#).

### POLICYTECH

PolicyTech is Teachers College's centralized and searchable database of current institutional policies.

Go to [PolicyTech](#).

### ETHICSPPOINT

Ethics Point is our confidential, third-party reporting tool for reporting concerns.

Ethics Point is NOT an emergency contact. For emergencies, please call Public Safety at (212) 678-3333.

Go to [Ethics Point](#)